

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Rollins, Brooke

Secretary, Department of Agriculture

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Agriculture, Nutrition, and Forestry
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Rollins, Brooke [electronically signed on 01/08/2025 by Rollins, Brooke in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bender, Stuart, Certifying Official [electronically signed on 01/15/2025 by Bender, Stuart in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Huitema, David, Certifying Official [electronically signed on 01/15/2025 by Huitema, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO	
1	America First Policy Institute	Washington, District of Columbia	Non-Profit	President / CEO, Board Member	2/2021	Present	
2	BLR Ideas Corp	Glen Rose, Texas	Corporation	President and Director	6/2021	Present	
3	America First Works	Washington, District of Columbia	Non-Profit	Board Member	11/2021	Present	
4	Texas Public Policy Foundation	Austin, Texas	Non-Profit	Senior Advisor to the Board	1/2021	Present	
5	Revocable Family Trust #1	See Endnote	Fort Worth, Texas	Trust	Trustee	1/2025	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	America First Policy Institute	N/A		Salary and Bonus	\$1,050,000
2	IRA	No			
2.1	DFAR - Dimensional US Real Estate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	DFAT - Dimensional US Targeted Value ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	DFIC - Dimensional International Core Equity 2 ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	DFUS - Dimensional US Equity Market ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.5	IUSG - Ishares Core S&P US Growth ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	JCPB - JPMorgan Core Plus Bond ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.7	JPST - JPMorgan Ultra Short Income ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	QUAL - Ishares MSCI USA QLTQ Fact ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.9	VVO - Vanguard FTSE Emerging Market ETV IV	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	BIMBX - Blackrock Systematic Multi-Strat Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	BSIIX - Blackrock Strategic Income Opps Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.12	SWVXX - Schwabb Value Advantage Money Investor Share	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	403B Plan From AFPI	No			
3.1	Voya Intermediate Bond Fund; R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	VFIAX - Vanguard 500 Index Fund; Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	VIMAX - Vanguard Mid-Cap Index Fund; Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	VSMAX - Vanguard Small-Cap Index Fund; Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	BLR Ideas Corp (public policy consulting)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Health Savings Account	No			
5.1	MetLife Guaranteed Account	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	BLR Ideas Corp	Glen Rose, Texas	My consulting business will be inactive during my appointment.	1/2025
2	America First Policy Institute (AFPI)	Washington, District of Columbia	I will continue to participate in the health savings account established by AFPI. The account sponsor will not make further contributions after my separation	2/2021
3	America First Policy Institute (AFPI)	Washington, District of Columbia	I will roll out of AFPI's 403B plan after my confirmation and AFPI will make no further contributions upon my resignation.	1/2025

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	America First Policy Institute	Washington, District of Columbia	Services as President / CEO, Board Member
2	Texas Public Policy Foundation	Austin, Texas	Consulting services (client of BLR Ideas Corp)
3	Mouradian Foundation	New York, New York	Consulting services (client of BLR Ideas Corp)
4	James Dobson Institute	Colorado Springs, Colorado	Consulting services (client of BLR Ideas Corp)

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hillwood Energy / HKN Energy (Oil and Gas Exploration and Production)	N/A		Salary, Bonus, and Net Profit Payments	
2	Hillwood 401K	No			
2.1	VBTIX - Vanguard Total Bond Market Index Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.2	VTSAX - Vanguard Total Stock Market Index Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.3	VTSNX - Vanguard Total International Stock Index Fund Institutional	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.4	VEMAX - Vanguard Emerging Markets Stock Index Fund Admiral Shares	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.5	VWUAX - Vanguard US Growth Fund Admiral Shares	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.6	VSMAX - Vanguard Small Cap Index Fund Admiral Shares	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.7	VMVAX - Vanguard Mid-Cap Value Index Fund Admiral Shares	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	IRA	No			
3.1	DFAR - Dimensional US Real Estate ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	DFAT - Dimensional US Targeted Value ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.3	DFIC - Dimensional International Core Equity 2 ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	DFUS - Dimensional US Equity Market ETF		Yes	\$100,001 - \$250,000		None (or less than \$201)
3.5	iShares Core S&P US Growth ETF (IUSG)		Yes	\$50,001 - \$100,000		None (or less than \$201)
3.6	JCPB - JPM Core Plus Bond ETF		Yes	\$50,001 - \$100,000		None (or less than \$201)
3.7	JPST - JPMorgan Ultra Short Income ETF		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.8	QUAL - Ishares MSCI USA Qlty Fact ETF		Yes	\$50,001 - \$100,000		None (or less than \$201)
3.9	VVO - Vanguard FTSE Emerging Market ETF IV		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.10	BlackRock Systematic Multi-Strategy Fund Institutional Class Shares (BIMBX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
3.11	BlackRock Strategic Income Opportunities Portfolio Institutional Shares (BSIIX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
4	HKN Energy II, LP (limited partnership interest in a private oil and gas business operating in the Kurdistan Region of Iraq)		N/A	Over \$1,000,000	Dividends	Over \$1,000,000
5	Hillwood Energy New Ventures, LP (limited partnership interest in private oil and gas business operating in Texas)		N/A	Over \$1,000,000		None (or less than \$201)
6	Hillwood Energy Partners, L.P. (limited partnership interest in private operating oil and gas business in the United States)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
7	Hillwood Oil & Gas Operating Co., L.P. (limited partnership interest in private oil and gas operating company in Texas)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
8	Hunter Gathering, L.P. (limited partnership interest in private pipeline business operating in Texas)	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Petrus Minerals Operating Co, L.P. (limited partnership interest in private oil and gas business operating in Texas)	See Endnote	N/A	None (or less than \$1,001)	None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	U.S. bank #1 - Child 2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	U.S. bank #2 - Child 1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	U.S. bank #2 - Child 2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	U.S. bank #2 - Child 3 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6	U.S. bank #2 - Child 4 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	U.S. bank #3 (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
8	VCSP/College America 529 Plan FBO Child 3	No			
8.1	CAPITAL INCOME BUILDER Class 529-F-2 (FBCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	CAPITAL WORLD BOND FUND Class 529-F-2 (FCWBX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	CAPITAL WORLD GROWTH & INCOME FUND Class 529-F-2 (FCWGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.4	BOND FUND OF AMERICA Class 529-F-2 (FFBOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.5	U.S. Government Securities Fund Class 529-F-2 (FSUGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	VCSP/College America 529 Plan FBO Child 2	No			
9.1	CAPITAL INCOME BUILDER Class 529-F-2 (FBCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.2	CAPITAL WORLD GROWTH & INCOME FUND Class 529-F-2 (FCWGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	BOND FUND OF AMERICA Class 529-F-2 (FFBOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	VCSP/College America 529 Plan FBO Child 4	No			
10.1	CAPITAL INCOME BUILDER Class 529-F-2 (FBCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.2	CAPITAL WORLD GROWTH & INCOME FUND Class 529-F-2 (FCWGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.3	BOND FUND OF AMERICA Class 529-F-2 (FFBOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	VCSP/College America 529 Plan FBO Child 1	No			
11.1	CAPITAL INCOME BUILDER Class 529-F-2 (FBCIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2	CAPITAL WORLD GROWTH & INCOME FUND Class 529-F-2 (FCWGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.3	BOND FUND OF AMERICA Class 529-F-2 (FFBOX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.4	U.S. Government Securities Fund Class 529-F-2 (FSUGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Cigna Health Savings Account	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.1	SCHA - Schwab US Small-Cap ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.2	SCHB - Schwab US Broad Market Schwab	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.3	SCHD - Schwab US Dividend Equity ETF Schwab	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.4	SCHG - Schwab US Large-Cap Growth ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.5	SCHJ - Schwab 1-5 Year Corporate Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.6	U.S. brokerage (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	JP Morgan 2011 Vintage Private Fund	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
14	U.S. brokerage account	No			
14.1	BDNX - Vanguard Total International Bond ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
14.2	DFAC - Dimensional US Core Equity 2 ETF	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
14.3	DFAR - Dimensional US Real Estate ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.4	DFAS - Dimensional US Small Cap ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.5	DFIC - Dimensional International Core Equity 2 ETF	Yes	\$250,001 - \$500,000		None (or less than \$201)
14.6	iShares High Yield Muni Income Active ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.7	IUSG - Ishares Core S&P US Growth ETF	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.8	JMST - JPMorgan Ultra Short Municipal Income ETF		Yes	\$100,001 - \$250,000		None (or less than \$201)
14.9	QUAL - Ishares MSCI USA Qlty Fact ETF		Yes	\$100,001 - \$250,000		None (or less than \$201)
14.10	VIG - Vanguard Dividend Appreciation ETF		Yes	\$100,001 - \$250,000		None (or less than \$201)
14.11	VWO - Vanguard FTSE Emerging Mark ETF IV		Yes	\$100,001 - \$250,000		None (or less than \$201)
14.12	BIMBX - Blackrock SystemMatic Multi-Strat Instl		Yes	\$100,001 - \$250,000		None (or less than \$201)
14.13	BSIIX - Blackrock Strategic Income Opps Instl		Yes	\$100,001 - \$250,000		None (or less than \$201)
14.14	U.S. brokerage account (Cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
15	Joint Tenant Account		No			
15.1	DFAC - Dimensional US Core Equity 2 ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
15.2	DFGP - Dimensional Gbl CRE Pls Fxd ETF		Yes	None (or less than \$1,001)		None (or less than \$201)
15.3	DFIC - Dimensional International Core Equity 2 ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
16	GovPlus Fund AI, L.P.	See Endnote	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
17	Phillips Ray Capital Management	See Endnote	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
18	Atlas Energy Solutions (AESI)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19	Show Cattle		N/A	\$1,001 - \$15,000	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	MBR Asset Holdings, LLC	No			None (or less than \$201)
20.1	4.97% interest in HE RF Coinvest I, LLC (operating company that owns oil and gas assets in East Texas)	N/A	\$100,001 - \$250,000		None (or less than \$201)
20.2	4.97% interest in HE NV II Coinvest, LLC (operating company that owns oil and gas assets in East Texas)	N/A	\$100,001 - \$250,000		None (or less than \$201)
20.3	6.90% interest in HE Coinvest II, LLC (operating company that owns oil and gas assets in South Texas)	N/A	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	First United Bank	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2021	2.9%	30 Years
2	First United Bank	See Endnote	Mortgage on Personal Residence	2016	3.625%	30 Years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	5	No underlying assets.
5.	6	The valuation reflects projects that have failed.
5.	7	The valuation reflects projects that have failed.
5.	8	The valuation reflects projects that have failed.
5.	9	The valuation reflects projects that have failed.
6.	16	This asset was completely sold in August 2024 and the income amount reflects income generated from the asset during the reporting period.
6.	17	This asset was completely sold during the 3Q of 2024. The income amount reflects income generated during the reporting period.
6.	18	Sold in September 2023
8.	2	Lakehouse in Texas

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
